# Thermodynamics I
# Chapter 4
# First Law of Thermodynamics
# Open Systems

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# First Law of Thermodynamics (Motivation)

A system changes due to interaction with its surroundings.

Interaction study is possible due to conservation laws.

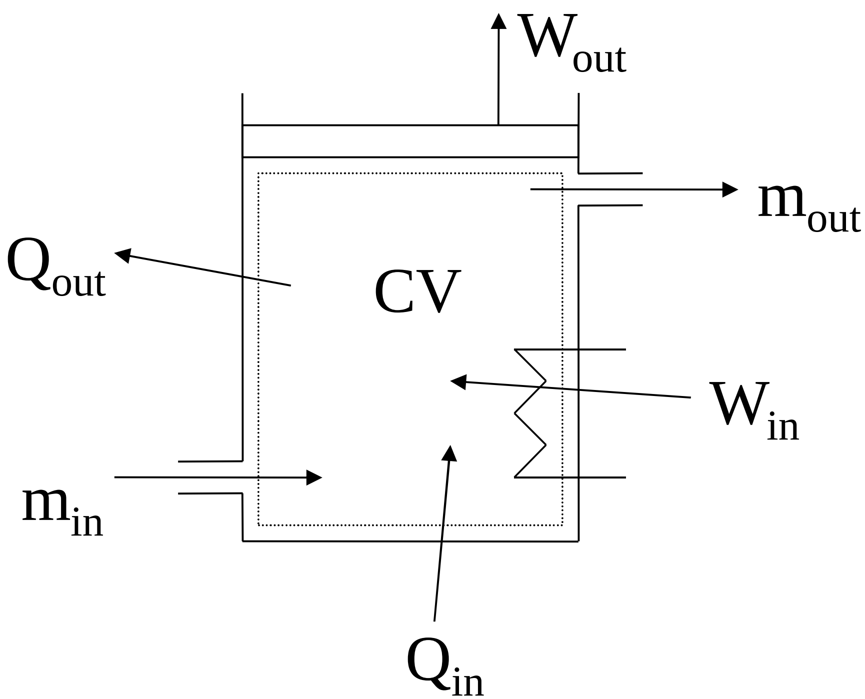
Various forms of conservation laws are studied in this chapter in the form of balance equations.

# 1ST LAW FOR OPEN SYSTEMS

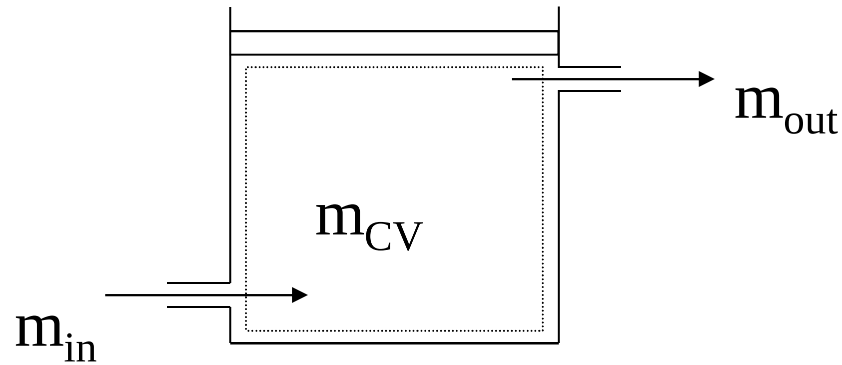
Energy and Mass can enter/leave a system

Divided into two parts:

- Conservation of Mass Principle
- Conservation of Energy Principle1



# Mass Conservation (Mass Balance)




## Mass Balance:

$$\Delta m_{CV} = \sum m_{in} - \sum m_{out}$$

Net change of mass of CV

Total of all mass entering

Total of all mass exiting

$$\frac{dm_{CV}}{dt} = \sum \frac{dm_{in}}{dt} - \sum \frac{dm_{out}}{dt}$$

Rate of change of mass of CV

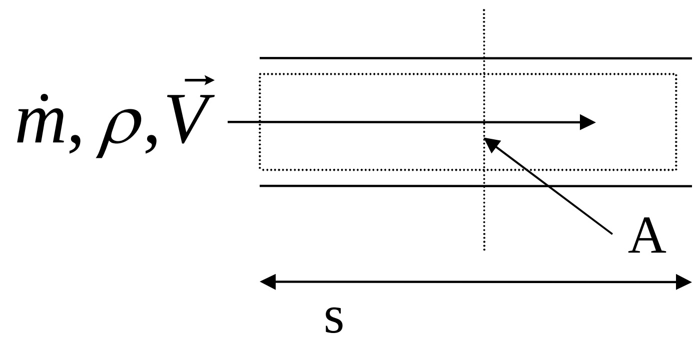
Total of all mass flow rates for all inlet channels

Total of all mass flow rates for all exit channels

# Mass flow rates and Speed for a channel

$$m = \rho V$$

$$m = \rho s A$$




For flow rates;

$$\frac{dm}{dt} = \rho \frac{ds}{dt} A$$

$$\dot{m} = \rho \vec{V} A$$

but

$$sA = V$$

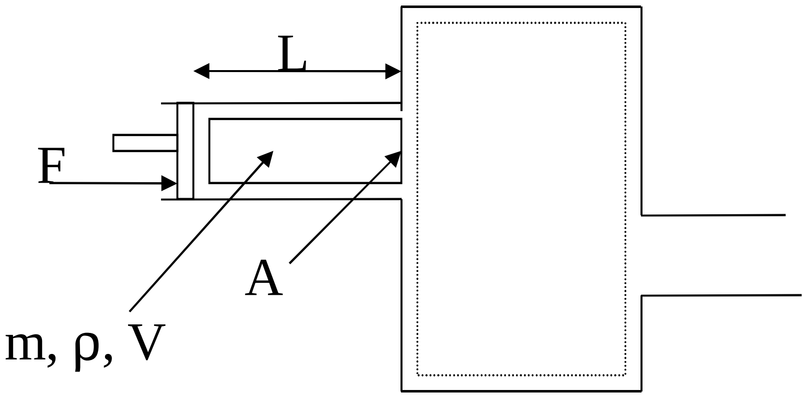
$$\frac{ds}{dt} A = \dot{V}$$

Thus;

$$\boxed{\dot{m} = \rho \vec{V} A = \frac{\vec{V} A}{v} = \rho \dot{V} = \frac{\dot{V}}{v}}$$

# Flow Work

For an amount of mass to cross a boundary, it needs a force (work) to push it; called Flow Work , $w_{flow}$




The force that pushes the fluid;

$$F = PA$$

Work that is involved;

$$W = \int F \cdot ds$$

$$W_{flow} = F \cdot L$$

$$= PA \cdot L$$

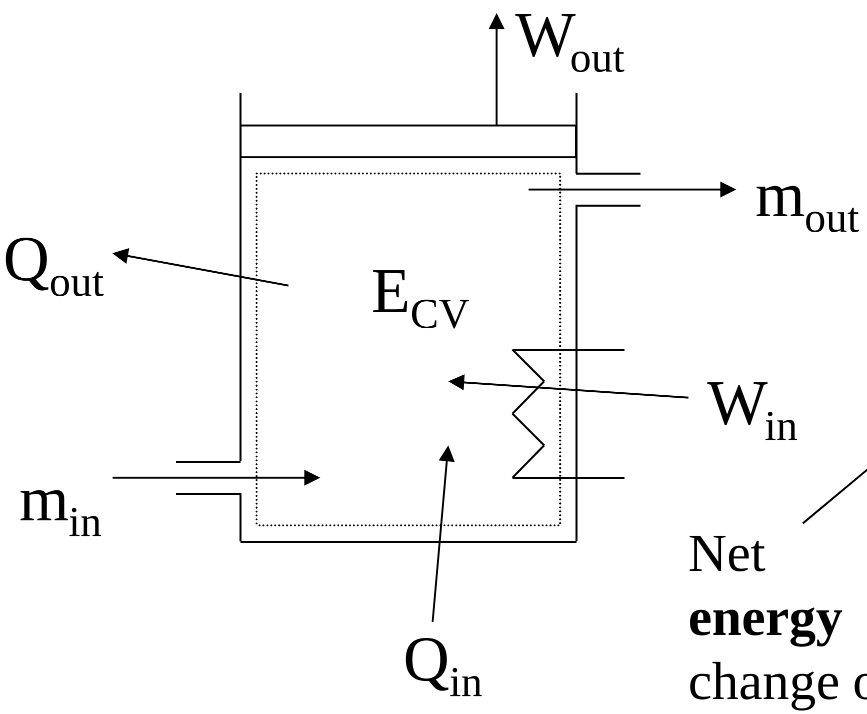
$$= PV$$

$$\boxed{w_{flow} = pv}$$

# <u>Conservation of Energy for Open Systems</u>

Energy can enter/leave an open system via

**<u>Heat</u>, <u>Work</u> AND <u>Mass Flow Entering/Leaving</u>**




$$\Delta E_{CV} = \underbrace{Q - W}_{} + E_{in} - E_{out}$$

Net **energy** change of **CV**

Net energy transfer by **heat/work**

Total energy of **entering mass**

Total energy of **exiting mass**

## Energy of a Flowing Mass

An amount of mass possesses energy, E, e

$$e = u + ke + pe$$

but a flowing mass also possesses flow work, so

the Energy for a flowing mass; $\mathbf{e_{flow}}$

$$\begin{aligned} e_{flow} &= u + ke + pe + w_{flow} \\ &= u + pv + ke + pe \end{aligned}$$

but $\quad u + pv = h$ (enthalpy)

$$\boxed{\mathbf{e_{flow} = h + ke + pe}}$$ (energy for a flowing mass)

## Energy Balance for an Open System

can be written as;

$$\Delta E_{CV} = Q - W + E_{flow_{in}} - E_{flow_{out}}$$

or;

$$\begin{aligned}
\Delta e_{CV} &= q - w + e_{flow_{in}} - e_{flow_{out}} \\
&= q - w + (h + ke + pe)_{in} - (h + ke + pe)_{out} \\
&= q - w + \sum_{in} \underbrace{(h + ke + pe)}_{\text{For each inlet}} - \sum_{out} \underbrace{(h + ke + pe)}_{\text{For each outlet}}
\end{aligned}$$

Total of all work **except** flow work

For each inlet

For each outlet

but;

$$\Delta e_{CV} = (\Delta u + \Delta ke + \Delta pe)_{CV}$$

so;

$$(\Delta u + \Delta ke + \Delta pe)_{CV} = q - w + \sum_{in}(h + ke + pe) - \sum_{out}(h + ke + pe)$$

Energy Balance in other forms;

$$\Delta E_{CV} = Q - W + \sum_{in}(H + KE + PE) - \sum_{out}(H + KE + PE)$$

Rate form;

$$\boxed{\frac{dE_{CV}}{dt} = \dot{Q} - \dot{W} + \sum_{in}\dot{m}(h + ke + pe) - \sum_{out}\dot{m}(h + ke + pe)}$$

(general form of Energy Balance for an Open System)

## Steady Flow Process

Criteria;

All system properties (intensive & extensive) do not change with time

$m_{cv}$= constant; $\Delta m_{CV}$= 0

$V_{CV}$= constant; $\Delta V_{CV}$= 0

$E_{CV}$= constant; $\Delta E_{CV}$= 0

Fluid properties at all inlet/exit channels do not change with time (Values might be different for different channels)

$$(\dot{m}, \vec{V}, A, etc.)$$

Heat and work interactions do not change with time

$$\dot{Q} = constant$$

$$\dot{W} = constant$$

## Implication of Criterion 1 (**Mass**)

$\Delta m_{CV} = 0$,

From Mass Conservation;

$$\sum \dot{m}_{in} - \sum \dot{m}_{out} = \Delta m_{CV}$$

$$\therefore \boxed{\sum \dot{m}_{in} = \sum \dot{m}_{out}}$$

Recall that

$$\dot{m} = \rho \vec{V} A = \frac{\vec{V} A}{v}$$

so

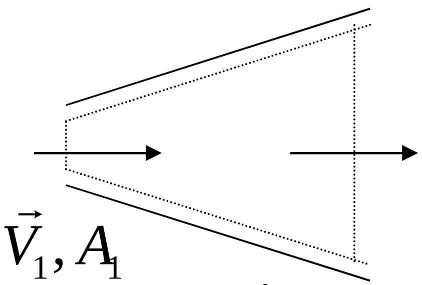
$$\boxed{\sum_{i=1}^{n} \rho_i \vec{V}_i A_i \Big|_{in} = \sum_{o=1}^{k} \rho_o \vec{V}_o A_o \Big|_{out}}$$

$$\dot{m}_{in} = \dot{m}_{out}$$

$$\rho_1 \vec{V}_1 A_1 = \rho_2 \vec{V}_2 A_2$$

# Implication of Criterion 1 (**Mass**) ctd.

for 1 inlet/ 1 exit;



$\dot{m}_{in}$

$\rho_1, \vec{V}_1, A_1$

$\dot{m}_{out}$

$\rho_2, \vec{V}_2, A_2$

# Implication of Criterion 1

(**Volume**)

$\Delta V_{CV} = 0$,

$\therefore$ $\boxed{W_B = 0 \text{(boundary work = 0 )}}$

$$\Delta E_{CV} = Q - W + \Sigma E_{flow(in)} - \Sigma E_{flow(out)}$$

$$W = W_{electrical} + W_{shaft} + \cancel{W}_{boundary} + \cancel{W}_{flow} + W_{etc.}$$

0 in **h**

$\boxed{\text{W not necessarily 0, only } W_B \text{ is 0}}$

Implication of Criterion 1

(**Energy**)

$\Delta E_{CV} = 0$,

From Energy Conservation;

$$\cancel{\frac{dE_{CV}}{dt}} = \dot{Q} - \dot{W} + \sum_{in} \dot{m}(h + ke + pe) - \sum_{out} \dot{m}(h + ke + pe)$$

$= 0$

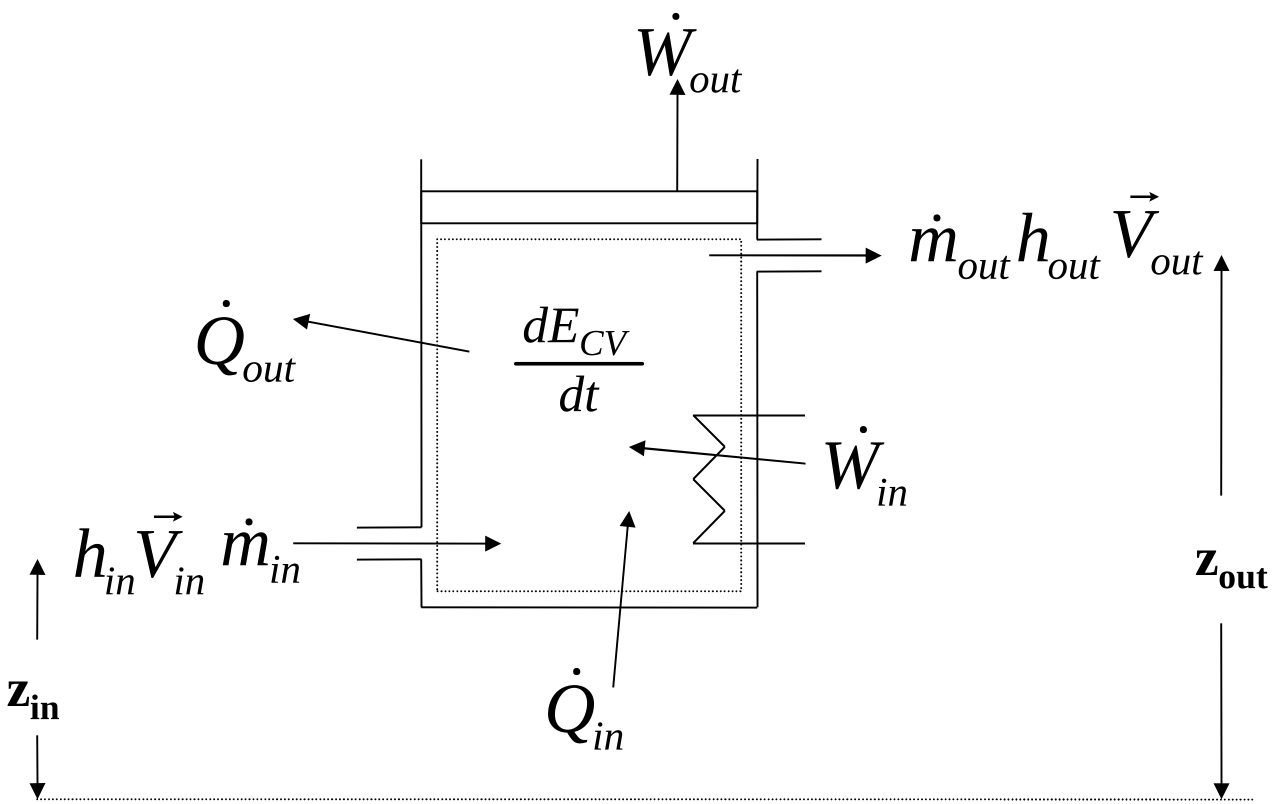
Rearrange to get Steady Flow Energy Equation

(SSSF)

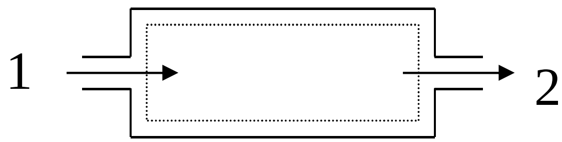
$$\boxed{\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)}$$

# SSSF

$$\dot{Q} - \dot{W} = \sum_{out} \underbrace{\dot{m}\left(h + \frac{\vec{V}^2}{2} + gz\right)}_{\text{For each } \textbf{exit} \text{ channel}} - \sum_{in} \underbrace{\dot{m}\left(h + \frac{\vec{V}^2}{2} + gz\right)}_{\text{For each } \textbf{inlet} \text{ channel}}$$



# SSSF energy equation for 1 inlet / 1 exit




**Mass**

$$\dot{m}_{in} = \dot{m}_{out} \qquad \text{or} \qquad \dot{m}_1 = \dot{m}_2$$

**Energy**

$$\dot{Q} - \dot{W} = \dot{m}_2\left(h_2 + \frac{\vec{V}_2^{\,2}}{2} + g z_2\right) - \dot{m}_1\left(h_1 + \frac{\vec{V}_1^{\,2}}{2} + g z_1\right)$$

$$\dot{m}_1 = \dot{m}_2 = \dot{m}$$

$$\dot{Q} - \dot{W} = \dot{m}\left[(h_2 - h_1) + \left(\frac{\vec{V}_2^{\,2} - \vec{V}_1^{\,2}}{2}\right) + g(z_2 - z_1)\right]$$

$$\frac{\dot{Q}}{\dot{m}} - \frac{\dot{W}}{\dot{m}} = (h_2 - h_1) + \left(\frac{\vec{V}_2^{\,2} - \vec{V}_1^{\,2}}{2}\right) + g(z_2 - z_1)$$

$$\boxed{q - w = \Delta h + \Delta ke + \Delta pe \qquad \text{( 1 inlet / 1 exit )} \qquad (\ \Delta = \text{exit} - \text{inlet}\ )}$$

SSSF energy equation for multiple channels but neglecting Δke, Δpe

$$\dot{Q} - \dot{W} = \sum \dot{m}_{out} h_{out} - \sum \dot{m}_{in} h_{in}$$

$$\sum \dot{m}_{in} = \sum \dot{m}_{out}$$

## SSSF Applications

For devices which are *open systems*

(a)
- Nozzle & Diffuser
- Turbine & compressor
- Throttling valve @ porous plug

(b)
- Mixing/Separation Chamber
- Heat exchanger(boiler, condenser, etc)

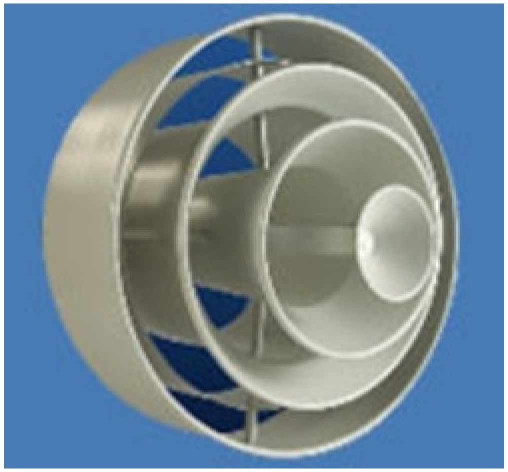
2 categories;

1 inlet / 1 outlet
Multiple inlet / outlet

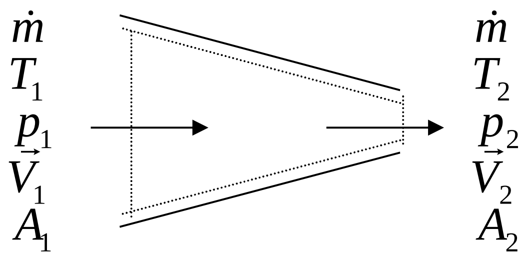
Analysis starts from the general SSSF energy equation;

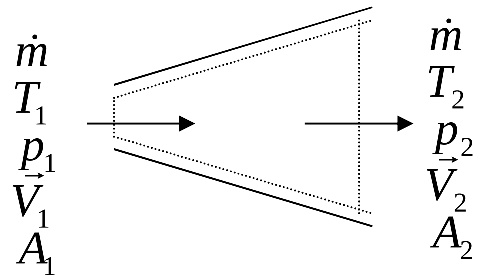
$$\boxed{\dot{Q}-\dot{W}=\sum_{out}\dot{m}(h+ke+pe)-\sum_{in}\dot{m}(h+ke+pe)}$$

Nozzle & Diffuser ( $\Delta KE \neq 0$ ) (To change fluid velocity)



## Nozzle & Diffuser ( $\Delta KE \neq 0$ ) (To change fluid velocity)




Nozzle $\vec{V}\uparrow$ Diffuser $\vec{V}\downarrow$

### Assumptions

Const. volume $\rightarrow w_B = 0$
No other work

$\Big\}\ w_{CV} = 0$

Small difference in height $\rightarrow \Delta pe = 0$

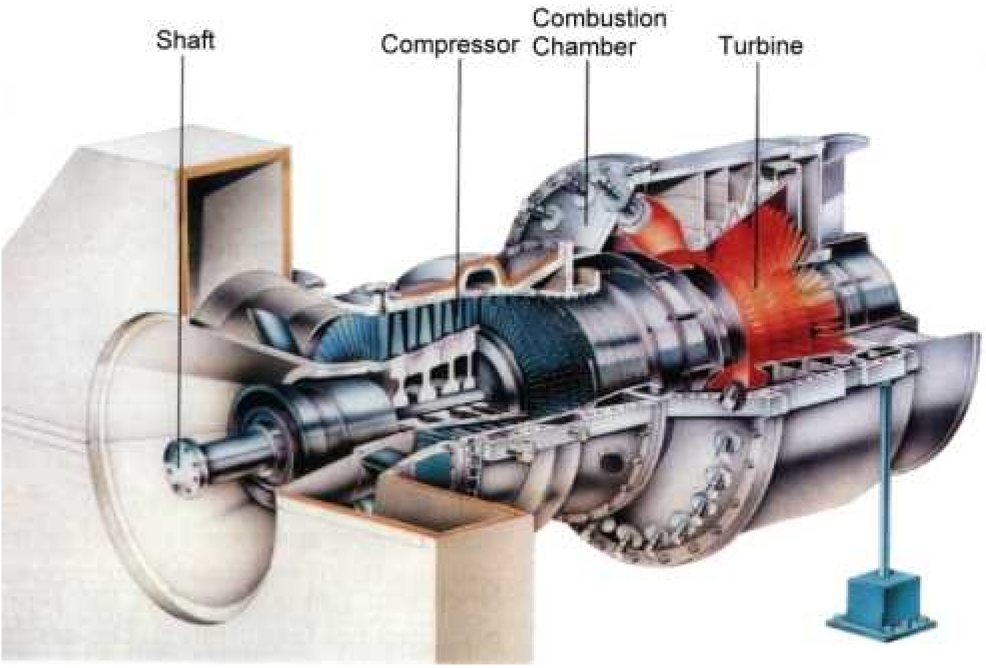
$$\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)$$

1 inlet / 1 outlet

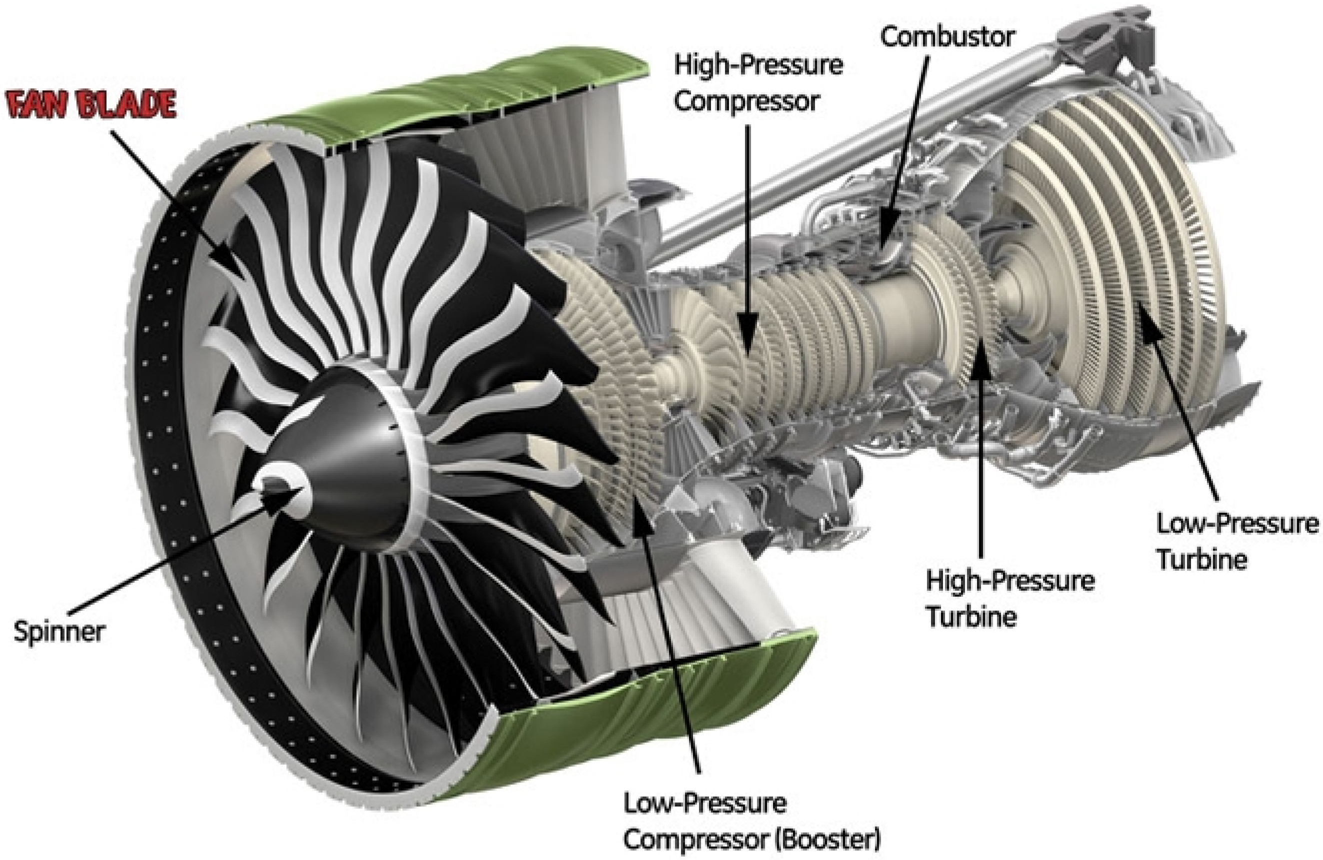
$$q - \cancel{w} = \Delta h + \Delta ke + \Delta \cancel{pe}$$

$$q = \Delta h + \Delta ke$$

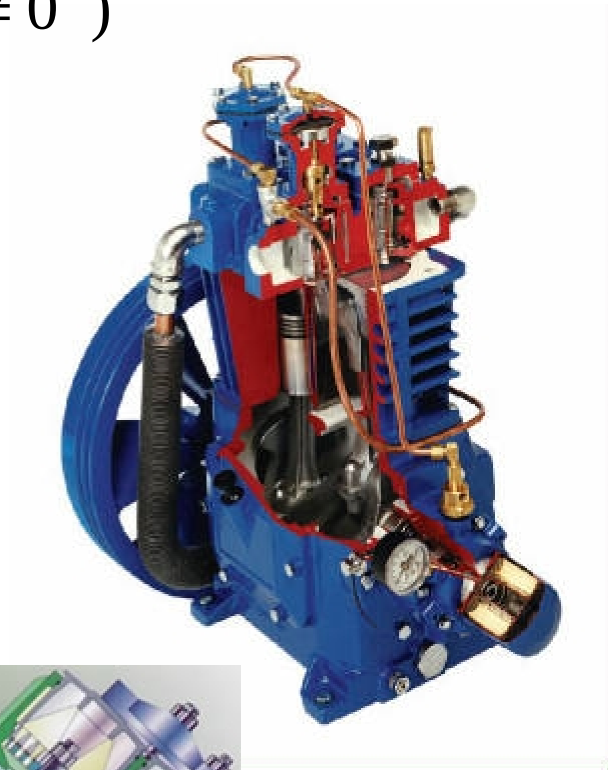
# Turbine ( $w_{CV} \neq 0$ )

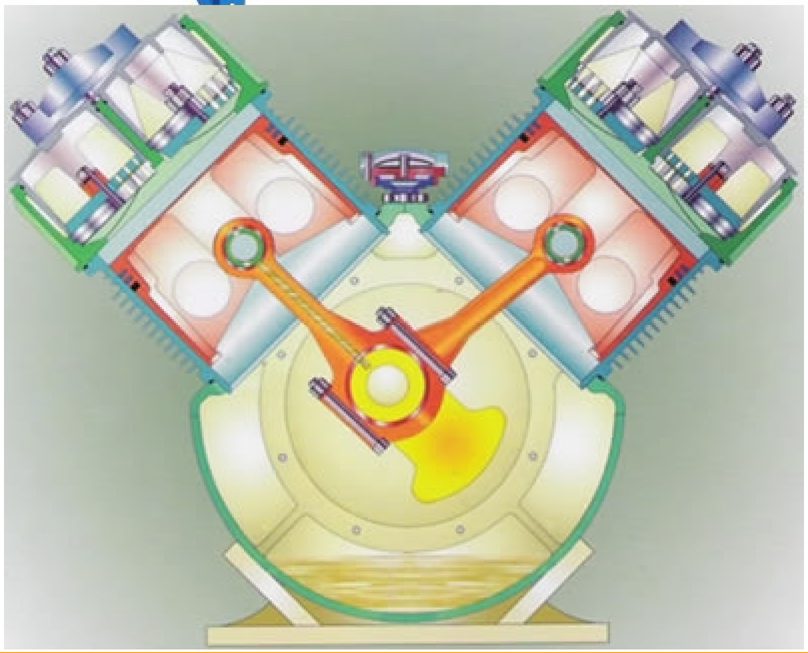



# Turbine & Compressors ( $w_{CV} \neq 0$ )

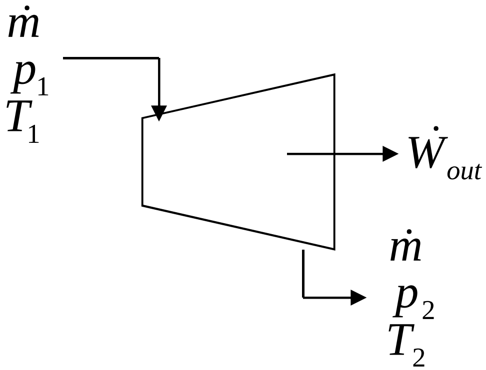


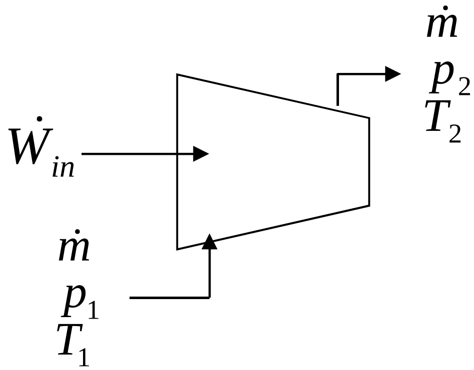
Compressors (Reciprocating) ( $w_{CV} \neq 0$ )



# Turbine & Compressor ( $w_{CV} \neq 0$ )




## Turbine

produces work (W +ve) from expansion of fluid (ΔP -ve)

## Compressor

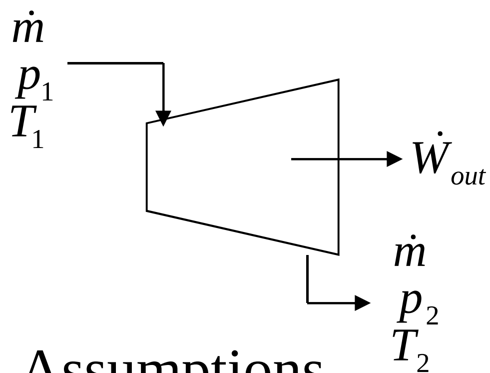
increases pressure (ΔP +ve) using work supplied (W –ve)

## Assumptions

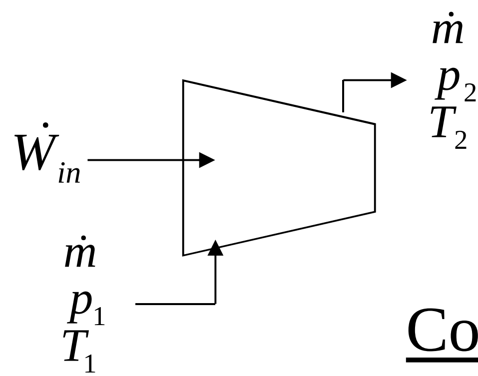
$$\left.\begin{array}{l}\text{Const. volume} \rightarrow w_B = 0 \\ \text{Involves other work}\end{array}\right\} w_{CV} \neq 0$$

Small difference in height $\rightarrow \Delta pe = 0$

## Turbine & Compressor ( $w_{CV} \neq 0$ )




Turbine




Compressor

Assumptions

Const. volume $\rightarrow w_B = 0$
Involves other work

$\left.\begin{array}{l}\text{Const. volume} \rightarrow w_B = 0 \\ \text{Involves other work}\end{array}\right\} w_{CV} \neq 0$

Small difference in height $\rightarrow \Delta pe = 0$

$$\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)$$

1 inlet / 1 outlet

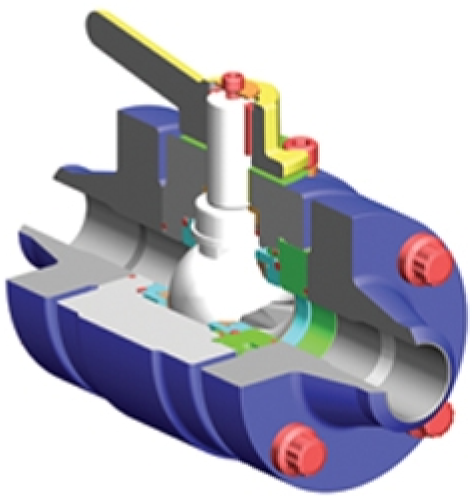
$$\dot{Q} - \dot{W} = \dot{m}(\Delta h + \Delta ke + \cancel{\Delta pe})$$

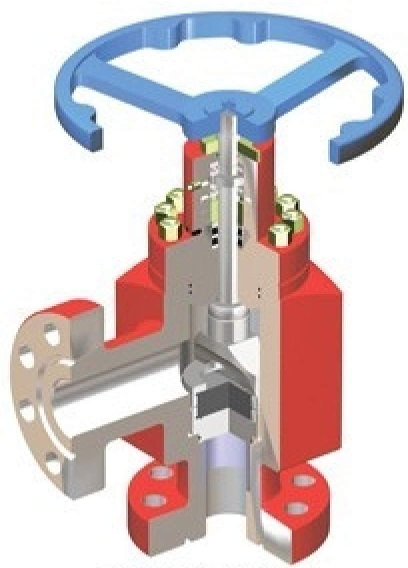
Commonly insulated (q=0), and also
$\Delta ke \approx 0$ (since $\Delta ke << \Delta h$ )

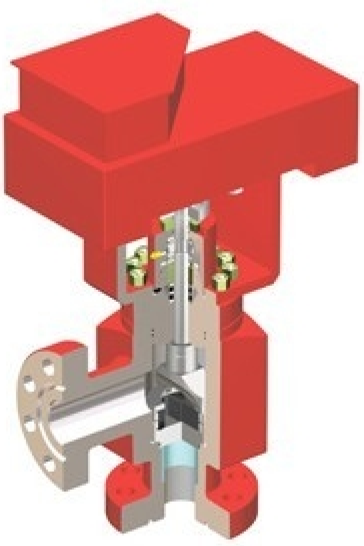
$$-\frac{\dot{W}}{\dot{m}} = \Delta h = h_2 - h_1$$

## Throttling valve/Porous plug (const.enthalpy, h=c)

To reduce pressure without involving work

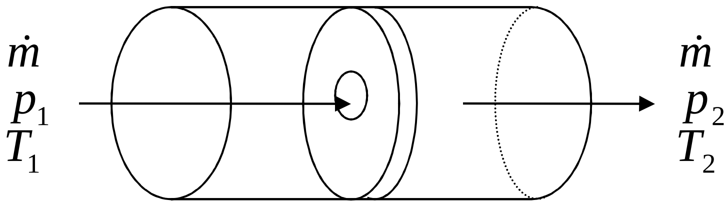


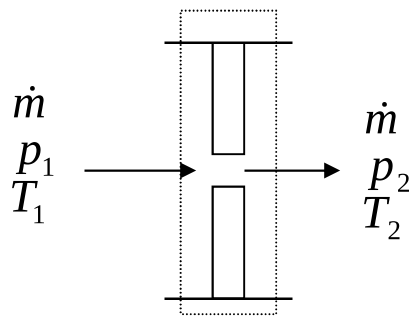





# Throttling valve/Porous plug (const.enthalpy, h=c)

To reduce pressure without involving work




## Assumptions

W = 0

Δke = 0 , Δpe = 0

Q ≈ 0 (system too small)

$$\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)$$

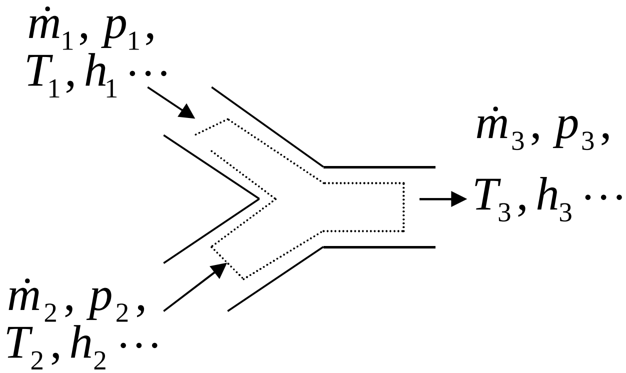
1 inlet / 1 outlet

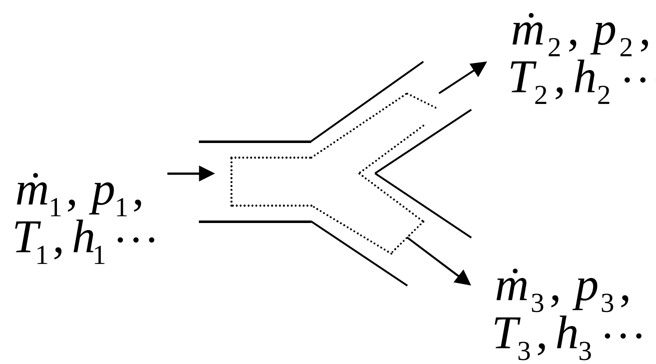
$$\cancel{q} - \cancel{w} = \Delta h + \Delta \cancel{ke} + \Delta \cancel{pe}$$

$$\Delta h = 0$$

$$\boxed{h_1 = h_2 \quad \text{For throttling valves}}$$

# Mixing/Separation Chamber / T junction pipe




## Assumptions

$W_B = 0$

Same pressure at all channels

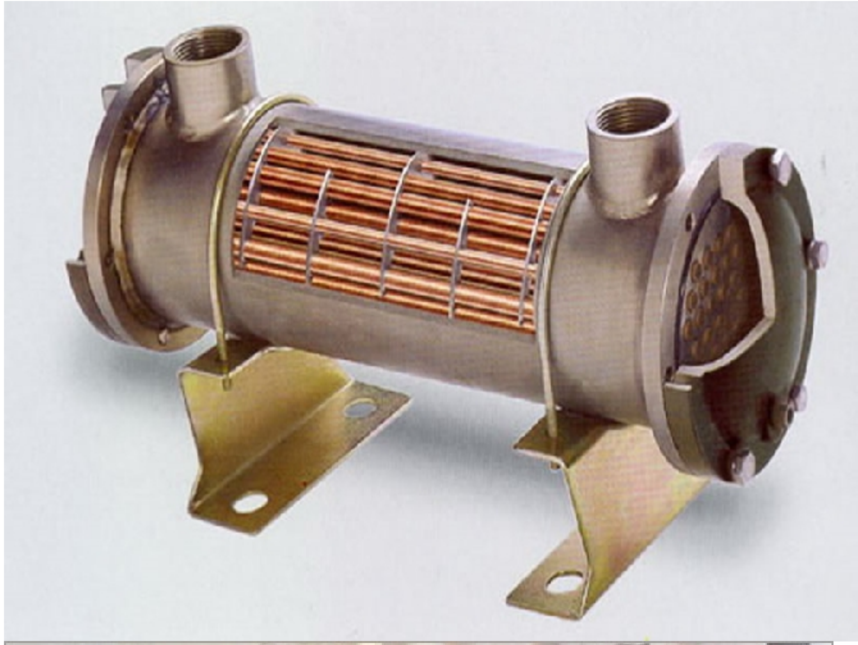
Other assumptions made accordingly

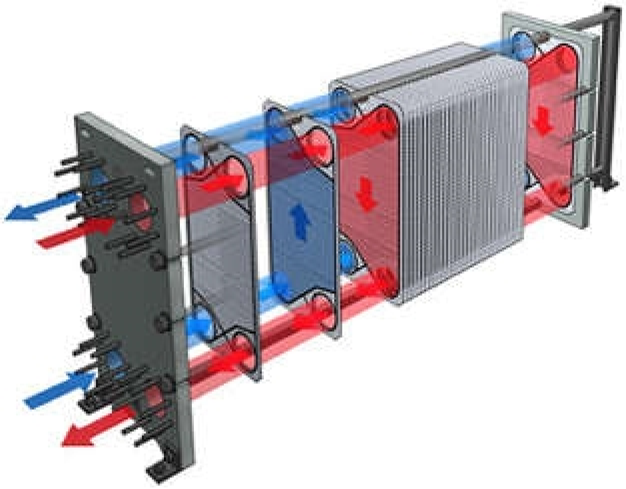
$$\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)$$

also

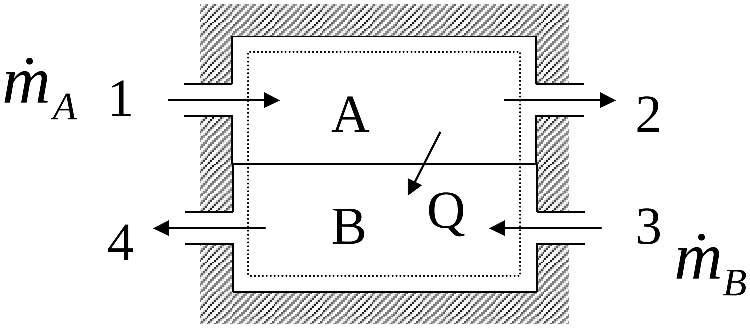
$$\sum \dot{m}_{in} = \sum \dot{m}_{out}$$

# Heat Exchangers



## Heat Exchangers




Assumptions *(System encompasses the whole heat exchanger)*

No work involved, W = 0

Insulated, Q = 0

$\Delta$ke = 0 , $\Delta$pe = 0

$$\cancel{\dot{Q}} - \cancel{\dot{W}} = \sum_{out} \dot{m}(h + \cancel{ke} + \cancel{pe}) - \sum_{in} \dot{m}(h + \cancel{ke} + \cancel{pe})$$

$$\sum \dot{m}_{in} h_{in} = \sum \dot{m}_{out} h_{out}$$

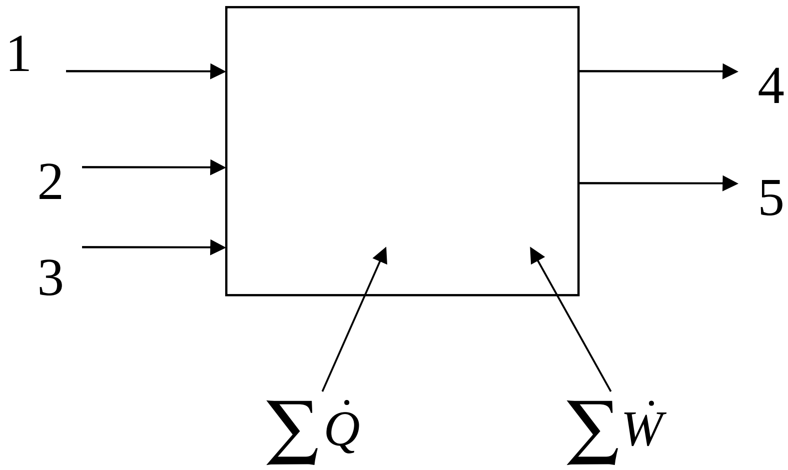
$$\dot{m}_A h_1 + \dot{m}_B h_3 = \dot{m}_A h_2 + \dot{m}_B h_4$$

$$\dot{m}_A (h_1 - h_2) = \dot{m}_B (h_4 - h_3)$$

also

$$\sum \dot{m}_{in} = \sum \dot{m}_{out}$$

## General device (Black box analysis)




Assumptions made according to situation...

$$\dot{Q} - \dot{W} = \sum_{out} \dot{m}(h + ke + pe) - \sum_{in} \dot{m}(h + ke + pe)$$

also

$$\sum \dot{m}_{in} = \sum \dot{m}_{out}$$